

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Application of: Brian Street et al.	Docket No.: 39137.010300
Serial Number: 09/859,666	Art Unit: 2164
Filing Date: May 18, 2001	Examiner: Unassigned
Title: System and Method for Identifying Potential Participants in a Public Offering	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)(3)

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

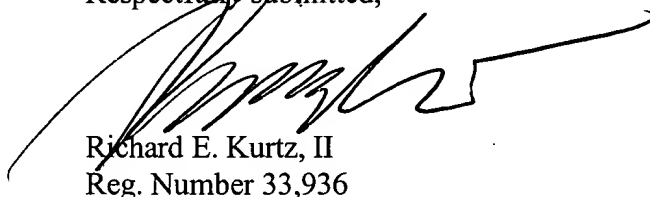
Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application.

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits. Thus **no fee, petition, or certification is required**. However, the Commissioner is authorized to charge any additional fees or credit any overcharges to Deposit Account No. **50-0653**.

Consideration of the references and Examination on the Merits is respectfully requested.

Respectfully submitted,



Richard E. Kurtz, II
Reg. Number 33,936

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May 16, 2002

REK/hlm

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

SERIAL NO.

39137.010300

09/859,666

APPLICANT

Brian Street

FILING DATE

May 18, 2001

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INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)


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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/07475	2/27/1997	WIPO	G06F	157/00		X
	EP 0600235	6/8/1994	European Patent Office	H04L	29/06		X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"The 'Aaayy' List", Washington Post.com, Mark Leibovich, June 6, 1999, 6 pages
	"My IPO Experience", www.Glenns.org, Glenn Fleishman, June 24, 1999, 2 pages
	"How Get-Rich.Hopes of Linux Techies Went Up in Flames", Wall Street Journal Highlights - - dowjones.com, Randall Smith and Lee Gomes, August 18, 1999, 5 pages
	"How I Got a Chance at Dot.com Wealth", Fortune: 9.6.99 - - www.pathfinder.com, Chris Nolan, September 6, 1999, 8 pages

Examiner

Date Considered